



ELECTION FINANCES AND CONTRIBUTIONS DISCLOSURE ACT

CONSTITUENCY ASSOCIATION
ANNUAL FINANCIAL STATEMENT

RECEIVED

MAR 30 2009

chief electoral office

chief electoral office

JANUARY 1, 2008

to DECEMBER 31, 2008

PAGE 2 OF 2

Full Name of Registered Constituency Association

Political Party Abbreviation

ED No.

Athabasca Redwater Progressive Conservative Assoc.

44

STATEMENT OF ASSETS AND LIABILITIES

ASSETS

- (24) Cash on Deposit \$ 20148.45
- (25) Petty cash & other funds _____
- (26) Accounts receivable _____
- (27) Bonds, Stocks, Securities, etc. _____
- (28) Other assets at cost (provide details) _____
- _____
- _____
- _____
- (29) TOTAL ASSETS \$ 20148.45
- (35) Surplus (Deficit) (difference between lines 29 and 34)

LIABILITIES

- (30) Funds on loan (provide details) \$ _____
- (31) Accounts payable to suppliers _____
- (32) Debts incurred but not yet billed _____
- (33) Other liabilities (provide details) _____
- _____
- _____
- _____
- (34) TOTAL LIABILITIES \$ NIL

\$ 20148.45

CONSOLIDATION

- (36) Surplus (Deficit) from previous years' Statement of Assets and Liabilities
- (37) Annual Surplus (Deficit) (must be same as line 23, page 1)
- (38) TOTAL (must be same as line 35)

\$ 26065.23

(15916.78)

\$ 20148.45

ATTESTATION OF THE CHIEF FINANCIAL OFFICER AND A PRINCIPAL OFFICER

Documents to be attached to this Statement:

- a. Copies of all Official Receipts issued.
- b. A list of contributors of \$375.01 and greater from a single source, to include the name and address of the contributor and the total amount contributed.
- c. Terms and details of loans from financial institutions.
- d. Reconciliation of Official Receipts.
- e. Bank Statement as at December 31 of filing year.

This is to certify that to the best of my knowledge, this Financial Statement and the above attachments accurately reflect all the financial transactions of the above named Registered Constituency Association.

Chief Financial Officer Submission:

Robert L. Dick
Printed Name

Phone No.: 780-675-4609

Robert L. Dick
Signature

Date

Email: bmdick@Telusplanet.net

Principal Officer Confirmation of Submission:

Carol Lund
Printed Name

Phone No.: 780-675-5686

Carol Lund
Signature

Date

Email: Carol@athabasca.ca

OFFICE OF THE CHIEF ELECTORAL OFFICER

Suite 100, 11510 Kingsway NW, Edmonton, AB T5G 2Y5 Telephone: (780) 427-7191

FORWARD SIGNED ORIGINAL OF THIS FORM TO THE CHIEF ELECTORAL OFFICER

NOTE: TO BE FILED WITH THE CHIEF ELECTORAL OFFICER ON OR BEFORE MARCH 31

ENTERED:

OFFICE USE ONLY

Report Start Date: 01-Jan-08

☐ \$0 - \$375

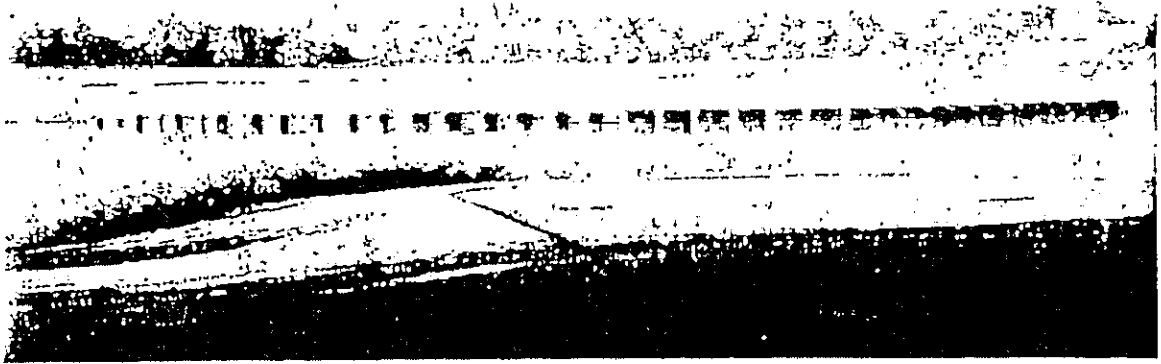
Report End Date: 31-Dec-08

☒ \$375 +

Excel Summary

Constituency: 402 - Athabasca-Redwater

AccountName	Contrib Type	Sum Of Receipt Amt
494496 Alberta Ltd		\$772.50
Agrium Inc.		\$650.00
Alberta-Pacific Forest Industries Inc		\$1,000.00
Alliance Pipeline Limited		\$650.00
Athabasca Pipelines Ltd		\$650.00
Bizon, Cindy		\$450.00
Cameron, Neil		\$1,000.00
Curial, Brian J.		\$500.00
Enbridge Pipelines Inc		\$650.00
Jay-Nart Directional Drilling Ltd		\$650.00
Johnson, Kimberly		\$1,000.00
Koch Ford Ltd.		\$650.00
Lund, Carol		\$650.00
Millar Western Forest Products Ltd		\$650.00
Northwest Upgrading Inc		\$650.00
ON-Trac Enterprises Ltd.		\$650.00
Pannekoek, Fritz		\$500.00
Rose Country Advertising Ltd.		\$650.00
Saddle Tech Inc		\$650.00
Short, John		\$400.00
Splane, Robert		\$1,000.00
Total E&P Canada Ltd		\$650.00
Walker, Barry		\$450.00
Total:	23	\$15,522.50



Jeff Johnson, MLA

Cordially invites you to the

Athabasca-Redwater P.C. Association

GOLF TOURNAMENT

Goose Hummock Golf Resort

2 miles North of Gibbons on Hwy 28
On

Friday, September 5, 2008

8:30 a.m. Registration with Breakfast
9:30 a.m. Shotgun Start

For further information please contact

S. 17(1)

or

S. 17(1)

Attachments: Individual Registration Form.
Sponsorship Form
Sponsorship Registration Form

Note: In lieu of prizes a donation will be made to a Local Charity

Zimbra Collaboration Suite

cheryl@athabasca.ca

Jeff Johnson Golf Tournament

Monday, August 11, 2008 5:55:22 PM

From: caroll@athabasca.ca

To: exec@athabasca.ca

Cc: excec@athabasca.ca

Attachments: Jeff Johnson Golf Tournie.doc (164.1KB)

Hello everyone,

Attached is the information regarding Jeff Johnson's golf tournament, being held on Friday September 5, 2008 at the Goose Hummock Golf Course, which is located 2 miles north of Gibbons on Hwy 28A.

For members of Executive that do not golf, you may want to attend the breakfast and or dinner, as there are a number of Ministers and MLA's who will be in attendance. A good opportunity for networking.

Carol

Carol Lund
University Secretary
Athabasca University
1 University Drive
Athabasca, AB T9S 3A3
Phone: (780) 675-6271
Fax: (780) 675-6450

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Zimbra Collaboration Suite

cheryl@athabasca.ca

Re: Jeff Johnson Golf Tournament

Tuesday, August 12, 2008 6:26:01 PM

From: pate@athabasca.ca

To: caroll@athabasca.ca

Cc: exeece@athabasca.ca; exec@athabasca.ca

Carol:

Since our office use to register for the Cardinal Classic as a gold sponsor, I have
not that paper work in. Also as indicated on Monday I have contacted S. 17(1)
to see if she would like to join our team (still waiting for her to get back to me).
I will make the Breakfast and all day golf.

S. 17(1)

Pat Eager CMA, MBA

Vice President, Finance & Administration
Athabasca University

PH: 780-675-6909

Fax: 780-675-6939

www.athabasca.ca

----- Original Message -----

From: "Carol Lund" <caroll@athabasca.ca>

To: "Executive" <exec@athabasca.ca>

Cc: exeece@athabasca.ca

Sent: Monday, August 11, 2008 5:55:22 PM GMT -07:00 US/Canada Mountain

Subject: Jeff Johnson Golf Tournament

Hello everyone,

Attached is the information regarding Jeff Johnson's golf tournament
being held on Friday September 5, 2008 at the Goose Head Golf Course
which is located 2 miles north of Gibbons on Hwy 28A.

For members of Executive that do not golf, you may want to attend the
breakfast and or dinner, as there are a number of Ministers and MIA's
who will be in attendance. A good opportunity for networking.

Carol

Carol Lund
University Secretary
Athabasca University
1 University Drive
Athabasca, AB T9S 3A3
Phone: 780 675-6271
Fax: 780 675-6143

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Financial Services

Athabasca University



Invoice Authorization Form

(For Financial Use Only)		Vendor Code <u>ATHA 0072</u>		Fiscal Year <u>09</u> Period <u>05</u>	INVOICE <input checked="" type="checkbox"/>
Document No <u>92234</u>		Invoice Checked by <u>[Signature]</u>		INVOICESIC <input type="checkbox"/>	INVUS <input type="checkbox"/>
Invoice Date (YYMMDD)	<u>08/08/29</u>	Ref #1 - Invoice No.	<u>GOLD TEE</u>		
Due Date (YYMMDD)		Ref #2 Contract Status or Purchase Order No.			
Date Goods/Service Received	<u>08/09/05</u>	Total Invoice Amount	<u>\$ 750.00</u>		
General Ledger Account Code		Tax		Signing Authority for Department	
Code Object Dept Element 3 Element 4 Element 5		I - Included E - Excluded S - Self Assessed		↓ ↓ ↓ ↓ ↓	
<u>5429.15.11</u>		<u>750.00</u>		<u>[Signature]</u>	
TOTAL INVOICE AMOUNT <input type="checkbox"/>		\$		Finance Authorization <u>[Signature]</u>	
SUBTOTAL <input type="checkbox"/>					

Special Instructions:

76C

Sponsorship Registration Form (Attachment A)

Contact: Cheryl Fertig

Telephone: (780) 675- 6116

<p>Golfer 1:</p> <p>Name: Pat Engar</p> <p>Company: Athabasca University</p> <p>Address: 1 University Drive</p> <p>City/Province: Athabasca, AB</p> <p>Postal Code: T9S 3A3</p> <p>Business Phone/Cell Phone: S. 17(1)</p> <p>Email address: pate@athabascau.ca</p>
<p>Golfer 2:</p> <p>Name: S. 17(1)</p> <p>Company: S. 17(1)</p> <p>Address: S. 17(1)</p> <p>City/Province: S. 17(1)</p> <p>Postal Code: S. 17(1)</p> <p>Business Phone/Cell Phone: S. 17(1)</p> <p>Email address: S. 17(1)</p>
<p>Golfer 3:</p> <p>Name: Joy Romero</p> <p>Company: Athabasca University</p> <p>Address: 1 University Drive</p> <p>City/Province: Athabasca, AB</p> <p>Postal Code: T9S 3A3</p> <p>Business Phone/Cell Phone: S. 17(1)</p> <p>Email address: augechair@athabascau.ca</p>
<p>Golfer 4:</p> <p>Name: MLA as per S. 17(1)</p> <p>Company: _____</p> <p>Address: _____</p> <p>City/Province: _____</p> <p>Postal Code: _____</p> <p>Business Phone/Cell Phone: S. 17(1)</p> <p>Email address: _____</p>

Prepared for: MR TROY TAIT August 16 to September 15, 2008 Account number: S. 17(1)

Your new charges and credits (continued)

Trans date Post date Description

Card number

Spend Categories

Sep 02 Sep 04 D L AUTOMOTIVE PARTS ATHABASCA AB

Transportation

750.00

Total for

Card number

Total for

CIBC CreditSmart™ Spend Report

Spend Categories	This month			Year-to-date	
	Transactions	Amount(\$)	Budget (\$)	Difference (\$)	Amount (\$)
Personal and Household Expenses					
Professional and Financial Services					
Retail and Grocery					
Transportation					
Hotel, Entertainment and Recreation					
Restaurants					
Home and Office Improvement					
Health and Education					
Foreign Currency Transactions					
Total					

Transactions are assigned a spend category based on where the goods or services are purchased, not on what was purchased. For example, items purchased at a convenience store in a gas station will appear under Transportation, not Retail and Grocery.

A negative difference (-) means you spent more than you budgeted.

	Amount(\$)	Budget (\$)	Difference (\$)
Total Monthly Budget			

Your message centre

Introducing the CIBC YMCA Access to Opportunity (TM) program. CIBC and the YMCA of Greater Toronto are proud to work together to provide a financial literacy and job skill development program for new Canadians. To register, call the YMCA of Greater Toronto 416-928-3362, ext. 2165 or visit www.cibcymcanewcomers.ca.

Join friends, family, and thousands of CIBC employees on Sunday October 5, 2008 for the Canadian Breast Cancer Foundation CIBC Run for the Cure. Together, we can create a future without breast cancer. For more information, visit www.cbcf.org. Who are you running for?

Subject: RE: AU Expense Claim Notification - Claim: 13977, Line: 1
 From: "TL(Troy) Tait" <tlta@athabascau.ca>
 Date: Thu, 23 Oct 2008 11:22:30 -0600
 To: 'AU Expense Claim Finance' <crystalb@athabascau.ca>

Hi Crystal,

I don't have any other receipt other than my visa statement. I have attached the notice for the tournament and on the registration forms (pages 2 and 3) you will see the notation that "All credit card payments will be processed through: D&L Automotive Parts Limited"

D&L Automotive is a company of one of the organizers. You can talk to Carol Lund if you wish further clarification.

Troy

TL(Troy) Tait
 Government Relations Office
 Office of the Vice-President, Advancement
 Athabasca University
 tlta@athabascau.ca
 off. 780-421-5056
 fax. 780-428-0342

S. 17(1)

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-----Original Message-----

From: AU Expense Claim Finance [mailto:crystalb@athabascau.ca]
 Sent: October 23, 2008 10:17 AM
 To: tlta@athabascau.ca
 Cc: crystalb@athabascau.ca
 Subject: AU Expense Claim Notification - Claim: 13977, Line: 1

RE: Expense Claim #13977, detail line #1

Claimant: tlta (Troy Tait)
 Submitted: tlta 16-Oct-08
 Signing Auth Approved: YES loriv 19-Oct-08
 Finance Approved: Yes

Hi Troy,

I was approving this claim but when looking at the credit card statement, you are claiming golf tournament fees but it says D&L Automotive. Do you have another receipt or can you explain why it states D&L Automotive?

Thank you,
 Crystal Brown
 AUEx - AU Expense Claim Process

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Edmonton Whitemud PC Association
c/o S. 17(1)
260 Windermere Drive NW
Edmonton, Alberta T6R 2H6
S. 17(1)

RECEIVED

MAY 22 2008

UNIVERSITY SECRETARIAT

INVOICE

TO: Athabasca University Governing Council
Attention: Carol Lund
1 University Drive
Athabasca, Alberta T9S 3A3

DATE: April 30, 2008

DESCRIPTION	Unit Cost	Total
3 tickets to 2008 Edmonton Whitemud PC Association Annual Lobster Boil evening, Thursday, June 5	100.00	\$ <u>300.00</u>

Ticket no.'s 191, 192, and 193 are enclosed. Please make your
cheque payable to the Edmonton Whitemud PC Association
and mail it to S. 17(1) as noted above.

S. 17(1)

Financial Services

Athabasca University



Invoice Authorization Form

Vendor Code <u>EDM00030</u>		Fiscal Year <u>09</u> Period <u>02</u>	INVOICE <input checked="" type="checkbox"/>
Document No <u>90429 cancel</u>		Invoice Checked by <u>[Signature]</u>	INVOICESIC <input type="checkbox"/>
Date Goods/Service Received <u>08/04/30</u>		Ref #1 - Invoice No.	<u>TR # 191/192/193</u>
Due Date (YYMMDD)		Ref #2 Contract Status or Purchase Order No.	
Total Invoice Amount		\$ <u>300.00</u>	

General Ledger Account Code Code Object Dept Element 3 Element 4 Element 5		Tax I - Included E - Excluded S - Self Assessed	Signing Authority for Department <u>[Signature]</u>
5429.15.11	300.00	ZE	
TOTAL INVOICE AMOUNT <input type="checkbox"/>		\$ <u>300.00</u>	Finance Authorization <u>RA</u>
SUBTOTAL <input type="checkbox"/>			

Special Instructions:

ATHABASCA UNIVERSITY



MEMORANDUM

DATE: November 5, 2008
TO: Dr. Frits Pannekoek
FROM: Pat Eagar
SUBJECT: Expenses for Attendance at Events Sponsored by Political Parties

I understand that in the past the University had limited involvement in events sponsored by political parties. In 2007, as part of its strategy to increase awareness of Athabasca University, the University began to purchase seats at various political social functions. According to the investigation carried out by the Director, Financial Services, the University purchased seats at five events from 2007 to October 2008, where the purpose for raising the funds was for a political party. One event was a direct purchase by an AU procurement card; two events staff members paid for the tickets and claimed reimbursement by submission of an AU expense claim; and the last two events, the staff members withdrew their expense claim request following this issue coming to light.

The University has a Purchasing Policy and a Travel and Expense Claim Policy, neither of these two policies include any reference to the restrictions outlined within the Election Finances and Contributions Disclosure Act, prohibiting post-secondary institutions to record as legitimate expenditures related to social functions held for the purpose of raising funds for the registered party. Until recently, the staff involved in purchasing the tickets on behalf of the University, and the staff involved in processing the expense claims was not aware of the restrictions and limitations within the Election Finances and Contributions Disclosure Act. The purchases and arrangements were made in good faith to support the desire of the University to support its communication strategy. Various University staff, council members and guests attended the events to support the University.

As discussed in our email communication on October 10, 2008, the purchase and the processing of the expenditures were done in good faith; and the University believes in honoring the payments to October 2008. I have also identified below the steps that we will be taking to avoid future occurrence of the error on a prospective basis. I request your signature at the end of this memo to signify your approval of both the payment and approach that has been identified.